

## Schedule of Fees

<b>Table A: Fee Scale (For cases of Standard Eligible Dispute)</b>		
	<b>Applicant/ Eligible Claimant (EC)</b>	<b>Financial Institution (FI)</b>
Making Enquiries	Free of charge	Free of charge
Filing an Application Form*	\$200	Not Applicable
<b>Mediation</b> Specified Mediation Time (4 hours) Amount of claim: <ul style="list-style-type: none"> <li>• Less than \$200,000</li> <li>• Between \$200,000 and \$1,000,000</li> </ul>	Per Case  \$1,000 \$2,000	Per Case  \$5,000 \$10,000
<b>Extended Mediation Time</b> Amount of claim: <ul style="list-style-type: none"> <li>• Less than \$200,000</li> <li>• Between \$200,000 and \$1,000,000</li> </ul>	Per hour or part thereof \$750 \$1,500	Per hour or part thereof \$750 \$1,500
<p><i>Notes</i></p> <p>1. Total mediation costs (including Extended Mediation Time costs to be shared equally by the Parties) are capped at \$20,000.</p> <p>2. A small FI qualifying as Small Enterprise (SE) may file claim as EC, but the mediation /arbitration fee will be shared equally by the SE and the other FI.</p>		
<b>Arbitration</b> Documents-only <ul style="list-style-type: none"> <li>• Up to \$1,000,000</li> </ul> <b>In-person hearing</b> (in addition to the fees payable for documents-only Arbitration) <ul style="list-style-type: none"> <li>• Up to \$1,000,000</li> </ul>	Per Case \$5,000  \$12,500	Per Case \$20,000  \$12,500

**\*The application fee of \$200 is not refundable even if the Application is later rejected by the FDRC.**

**All fees are in Hong Kong dollars and are not refundable after payments are made to the FDRC.**

<b>Table B : Fee Scale (For cases of Extended Eligible Dispute)</b>		
	<b>Applicant/ Eligible Claimant (EC)</b>	<b>Financial Institution (FI)</b>
Making Enquiries	Free of charge	Free of charge
Filing an Application Form*	\$200	\$200
<b>Mediation</b> Specified Mediation Time (4 hours) Amount of claim/counterclaim (in total):	Per Case	Per Case
<ul style="list-style-type: none"> <li>• Less than \$200,000</li> <li>• Between \$200,000 and \$1,000,000</li> <li>• Between \$1,000,001 and \$2,000,000</li> <li>• Between \$2,000,001 and \$3,000,000</li> <li>• Between \$3,000,001 and \$5,000,000</li> <li>• Between \$5,000,001 and \$10,000,000</li> </ul>	<ul style="list-style-type: none"> <li>\$1,000</li> <li>\$2,000</li> <li>\$2,500</li> <li>\$3,000</li> <li>\$3,500</li> <li>\$4,000</li> </ul>	<ul style="list-style-type: none"> <li>\$5,000</li> <li>\$10,000</li> <li>\$12,500</li> <li>\$15,000</li> <li>\$17,500</li> <li>\$20,000</li> </ul>
<b>Extended Mediation Time</b> Amount of claim/counterclaim (in total):	Per hour or part thereof	Per hour or part thereof
<ul style="list-style-type: none"> <li>• Less than \$200,000</li> <li>• Between \$200,000 and \$10,000,000</li> </ul>	<ul style="list-style-type: none"> <li>\$750</li> <li>\$1,500</li> </ul>	<ul style="list-style-type: none"> <li>\$750</li> <li>\$1,500</li> </ul>
<i>Notes:</i>		
<ol style="list-style-type: none"> <li>1. Total mediation costs (including Extended Mediation Time costs to be shared equally by the Parties) are capped at \$20,000 for cases up to \$1,000,000 and at \$30,000 for cases up to \$10,000,000.</li> <li>2. Fees for cases above \$10,000,000 to be agreed amongst the Parties, the Mediator and the FDRC.</li> <li>3. Claim and counterclaim amount on a combined case will be aggregated for fee calculation purpose.</li> <li>4. The FDRC will deduct administrative fee of \$1,000, \$1,500, \$2,000 and \$2,500 respectively from the total fee payable to the Mediator, for the monetary bands over \$1,000,000.</li> <li>5. A small FI qualifying as Small Enterprise (SE) may file claim as EC, but the mediation fee will be shared equally by the SE and the other FI.</li> </ol>		

<b>Arbitration</b>	<b>Per Case</b>	<b>Per Case</b>
<b>Documents-only</b>		
• Up to \$1,000,000	\$5,000	\$20,000
• Between \$1,000,001 and \$2,000,000	\$7,000	\$28,000
• Between \$2,000,001 and \$3,000,000	\$9,000	\$36,000
• Between \$3,000,001 and \$10,000,000	\$9,000 + 0.10% of claimable amount over \$3,000,000	\$36,000 + 0.40% of claimable amount over \$3,000,000
<b>In-person hearing</b> (in addition to the fees payable for documents-only Arbitration)		
• Up to \$1,000,000	\$12,500	\$12,500
• Between \$1,000,001 and \$2,000,000	\$15,000	\$15,000
• Between \$2,000,001 and \$3,000,000	\$17,500	\$17,500
• Between \$3,000,001 and \$10,000,000	\$17,500 + 0.5% of claimable amount over \$3,000,000	\$17,500 + 0.5% of claimable amount over \$3,000,000
<i>Notes:</i>		
1. Fees for cases above \$10,000,000 to be agreed amongst the Parties, the Arbitrator and the FDRC.		
2. Claim and counterclaim amount on a combined case will be aggregated for fee calculation purpose.		
3. The FDRC will deduct administrative fee of \$3,000, \$4,000 and \$5,000 respectively from the total fee payable to the Arbitrators, for the monetary bands over \$1,000,000.		
4. A small FI qualifying as SE may file claim as EC, but the arbitration fee will be shared equally by the SE and the other FI.		

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