

Joint Consent to Submission of Claim(s) under the Financial Dispute Resolution Scheme (“FDRS”)

Our Ref: _____

Date Received: _____

(For FDRC’s Official Use Only)

Please put a “√” in where appropriate.

We, (name of the Financial Institution (“FI”)) _____ and

(name of customer of the FI) _____

agree and consent that the claim stated below (in the amount of \$ _____ (HKD/USD/RMB/Others*)) and choice of dispute resolution process shall be handled under the FDRS in accordance with the *FDRS Mediation and Arbitration Rules*, and subject to the attached *Informed Consent Form* signed by the customer:

I. Type of claim:

- 1. a claim of customer against FI brought by the FI (which will only proceed in Mediation First, Arbitration Next if it is a Standard Eligible Dispute).
- 2. a claim of customer against FI brought by FI or its customer which exceeds Maximum Claimable Amount and/or is beyond the Limitation Period (as defined under Paragraph 2 of Terms of Reference of the FDRC).
- 3. an FI counterclaim against the customer brought by FI to be handled individually or alongside with claim of customer against the FI.
- 4. an FI claim brought by FI against the customer.

II. Choice of dispute resolution process is (not applicable to Standard Eligible Dispute):

- Mediation First, Arbitration Next Mediation Only Arbitration Only.

Name of customer (*Please print and sign here*) (*with Company Chop, if applicable*)

Name of FI (*Please print and sign here*) (*with Company Chop, if applicable*)

Date:

*Please delete and fill in where appropriate

Attachment to Annex X

Our Ref: _____
Date Received: _____
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Informed Consent Form

I/We am/are the customer(s) of the _____
(name of the Financial Institution)

I/We have made enquiry with the Financial Dispute Resolution Centre (FDRC) or attended the FDRC information session on the Financial Dispute Resolution Scheme (FDRS) services

at _____ on _____
(Time) (Date)

Declaration

1. I/We acknowledge that the FDRC has explained the FDRS services and understand the services.
2. I/We fully understand that I/we may solicit independent legal advice or expert opinion on (i) the dispute resolution process administered by the FDRC and (ii) my/our consent given above (**Joint Consent to Submission of Claim(s) under the FDRS**) and its implications.

Name of customer 1 (Please print and sign here) (with Company Chop, if applicable)

Name of customer 2 (For joint-account) (Please print and sign here) (with Company Chop, if applicable)

Date: